



**// delivering quality solutions for management company services,  
directorships, oversight and risk management**

## Our core services are:

- **Third party management company services**
- **AIFM and AIF services**
- Provision of **substance services** (human, infrastructure and logistical) to investment funds and management companies
- A quality choice of **independent board directors**
- **Risk management and investment compliance services**
- **KIID production**

- MDO was incorporated on 23/10/2003.
- MDO is 100% privately held.



**Third party management company services**

**AIFM and AIF services**

**Risk Management and Investment Compliance Services**

**KIID production**

**Professional and administrative support to funds, management companies and their conducting persons**



**Corporate secretarial, domiciliary and office rental services**



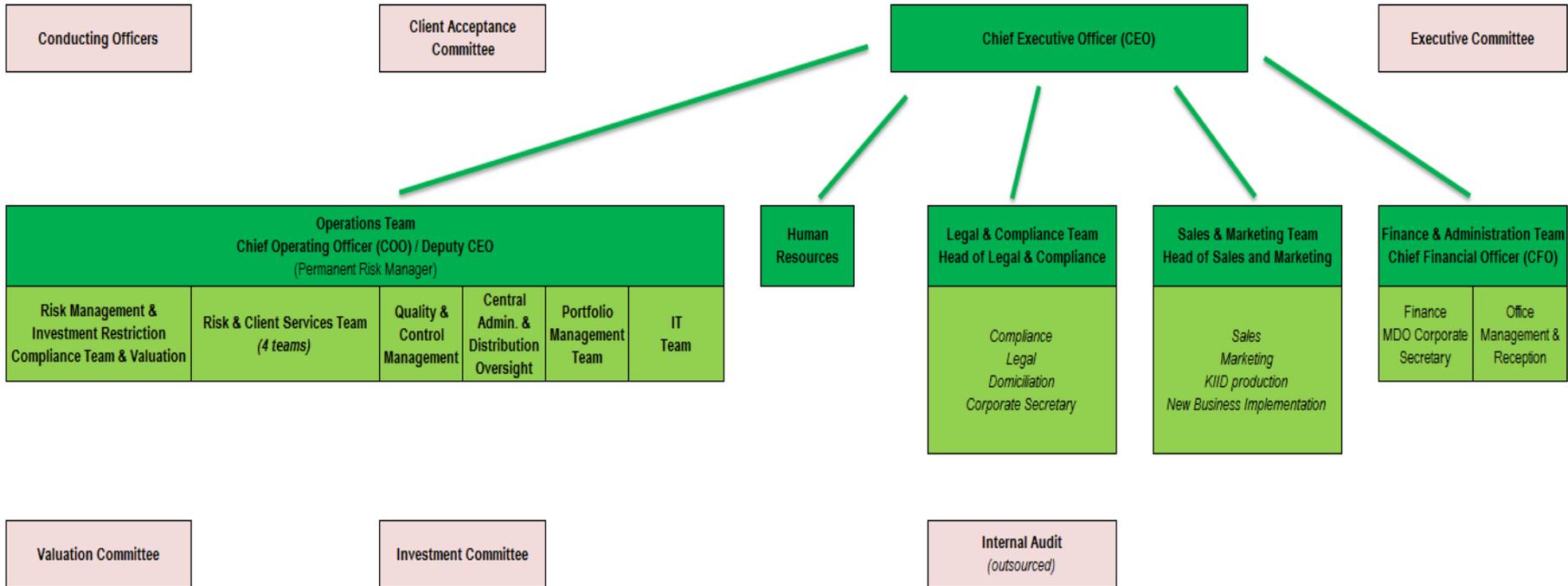
**Independent Directorships and Conducting Persons**

# Organisation Chart - MDO



52 staff

Board of Directors



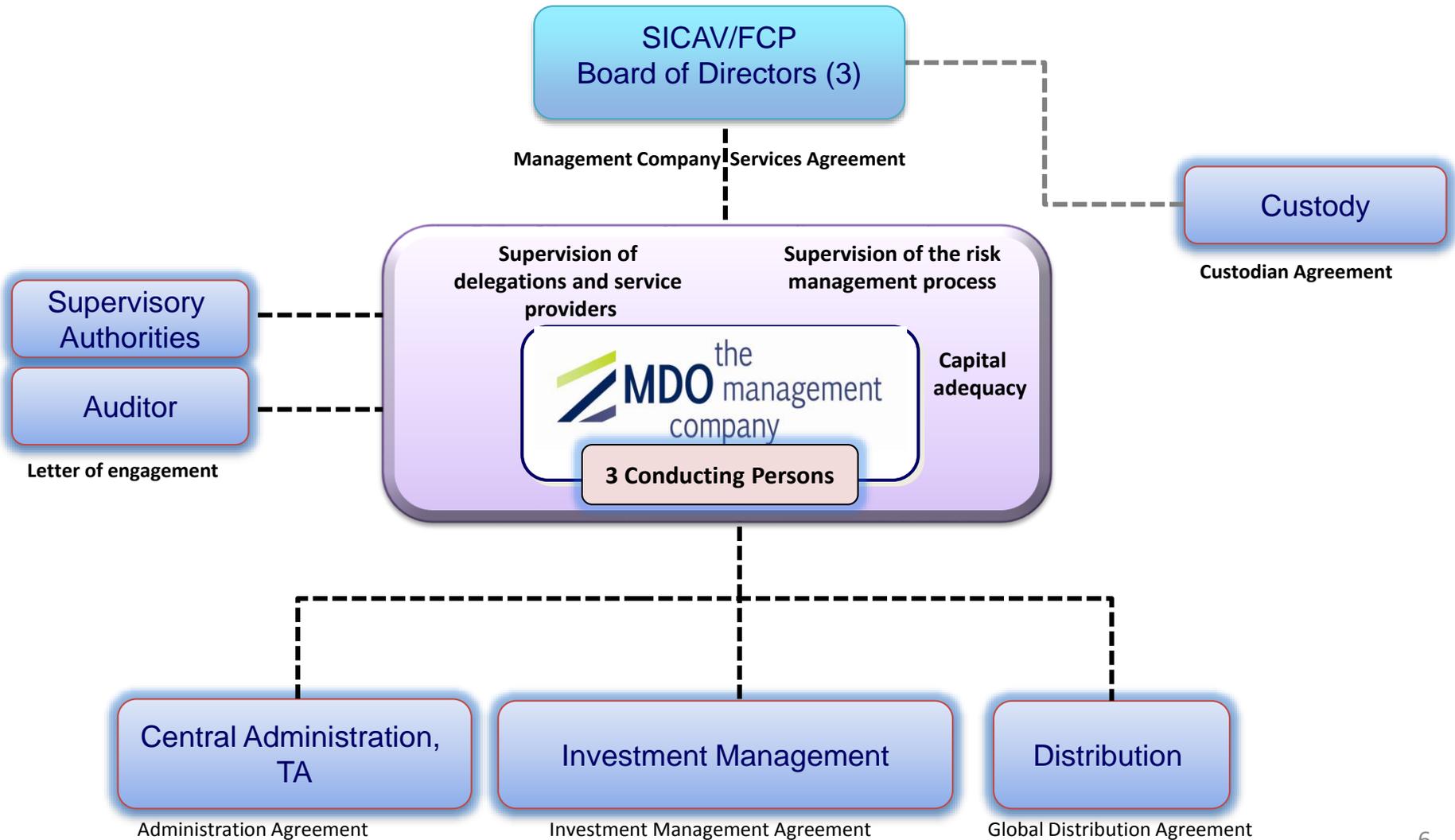
# The Directors' Office - Partners



- Robert DeNormandie [US]
- Marc De Leye [B]
- Roberto Di Carlo [I]
- Arnaud Dubois [F]
- Paul de Quant [NL]
- Richard Goddard [UK/L]
- Bernard Herman [B]
- John Li [L]
- Carlo Montagna [I]
- Garry Pieters [IRL/NL]
- Alan Ridgway [IRL]
- Peter Spinnler [CH]
- Javier Valls [S]
- Eduard Van Wijk [NL]
- Yves Wagner [L]

15  
Partners

# MDO Management Company - UCITS



MDO Management Company is a management company governed by Chapter 15 of the UCITS Law dated 17 December 2010.

MDO will delegate the central administration, investment management and distribution to professional service providers.

The Risk and Client Services Team of MDO is in charge of the day-to-day relationship with the UCITS and its service providers and produces all documents and reports which are reviewed and analysed by MDO's Conducting Persons on a monthly basis.

To supervise the delegated functions, MDO Management Company has implemented a complete oversight and risk management process:

- Permanent monitoring of the funds' activities and risk, i.e. capital monitoring, investment performance, benchmark analysis, monitoring of investment compliance, operational compliance, transactions analysis, .....
- Risk Management in accordance with CSSF Circular 11/512, 12/546, Regulation 10-04 ..
- Monthly reviews and reports to MDO's Conducting Persons
- Quarterly reports to the Board of the UCITS

*Self Assessment of the Risk profile (complex and non complex sub-funds) and corresponding **measurement on a daily/weekly/monthly basis***

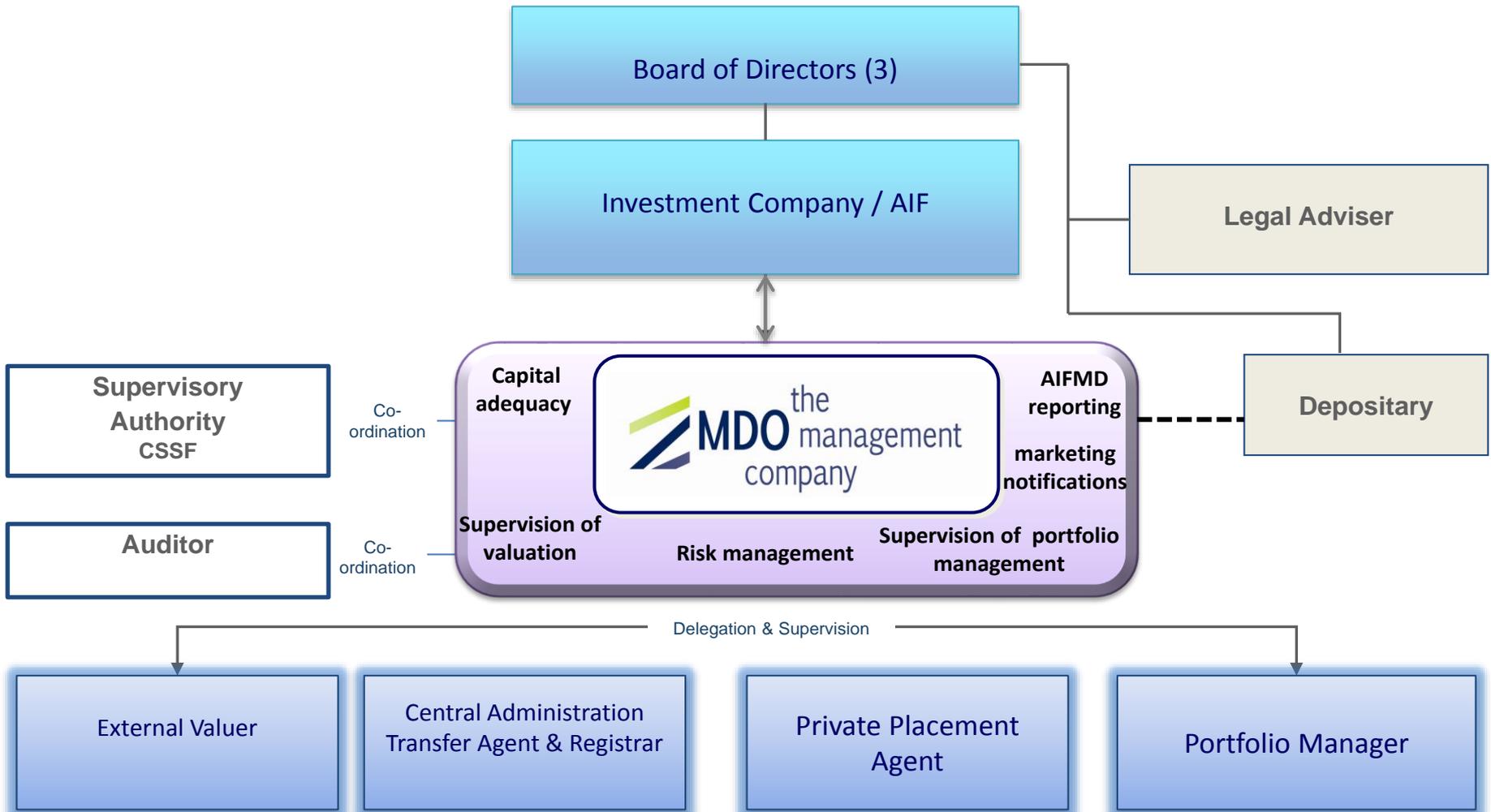
➤ **Risk reporting for non complex sub-funds**

- Commitment approach
- Counterparty Risk
- Liquidity Risk
- Operational Risk

➤ **Risk reporting for complex sub-funds**

- Value at Risk (absolute and relative) with several models (MC, parametric)
- Stress testing
- Back testing
- Operational Risk
- Liquidity Risk
- Monitoring of leverage

# MDO Management Company as AIFM



## **MDO Management Company:**

- is acting as third party management company of over 40 UCITS/UCIs and as AIFM of over 10 AIFs with over 280 sub-funds and assets under management exceeding €21 bn (@ March 2016)
- is providing support services to self-managed SICAVs and/or clients having their own management company with assets under management exceeding €50 billion.

## **MDO Services:**

- is providing domiciliary and corporate secretarial services to 6 clients

## **The Directors' Office:**

- approx. 250 directorship mandates



***African Alliance (South Africa)***

***Akbank (Turkey)***

***Alpha UCITS SICAV (UK)***

***AMP (Australia)***

AMP SITE

AMP GIF

AMP IDF III

***Ashburton (Jersey)***

***Astellon Capital Partners LLP (UK)***

***AzValor (Spain)***

Banco Santander (Spain)

***Bâloise (CH)***

***Banco do Brasil (Brazil)***

Bank of America Merrill Lynch (UK)

Barclays Wealth (UK)

***Bellevue Asset Management (CH)***

***Bestinver Gestion (Spain)***

***Bradesco (Brazil)***

***Caixa Bank (Spain) (2 UCITS)***

***Cathay (Taiwan)***

***Chilton UCITS (US)***

***Coeli AB (Sweden)***

Coeli AB (Sweden)

***Compass Asset Management (CH)***

Compass Asset Management (CH)

***Cramer Asset Management (CH)***

***CrediCorp (Chile)***

***EDM Servicios Financieros (Spain)***

***Emirates Bank (Dubai)***

European Credit Management (UK)

Eurofund

Falcon Fund Management (CH)

FAMSA (CH)

***Gamco/Gabelli (US)***

IKB

***Agenda SICAV (UK)***

***Insinger de Beaufort (Netherlands)***

Insinger (2 AIFs)

***Invest AD (Dubai)***

***Lion Global Investors (Singapore)***

M&G Investments (UK)

***Man Investments (UK)***

Manulife (Hong Kong)

Massachusetts Financial Services (US)  
Matthews (US)  
MSEOF Manager Sàrl (Lux)  
National Bank of Greece (Greece)  
Nikko Asset Management (UK)  
**Nissay Asset Management (Japan)**  
**Oclaner Asset Management (Singapore)**  
**Pan-Holding SICAV (France)**  
**Pareturn (BNP) (Lux)**  
Patrimonium (CH)  
**Portfolio Managment Solutions (CH)**  
**Prodigy (UK)**  
**RBR Capital (CH)**  
**RWC (UK)**  
SCIO Capital (UK)  
**Symphonia (Italy)**  
**Tages Capital (Italy)**  
**Tages International SICAV (UK)**  
Tages SIF-SICAV  
**Three Bridges (US)**

ThomasLloyd SIF-SICAV  
TCW (US)  
Third Eye Capital (Canada)  
**Tower Fund (CH)**  
**Unicorn (Italy)**  
**Uniqa (Austria)**  
Wells Fargo (US)  
**Yapi Kredi (Turkey)**

***Bold and italic:*** MDO Management Company clients

Non bold: clients that have their own ManCo or a self-managed SICAV or SIF

Underlined: AIFs

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